



Simulating building energy efficiency impact potential: From individual occupants to the national building stock

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About me

- Ph.D., Architectural Engineering from Drexel University
- B.Arch. from Carnegie Mellon University
- 2014 - DOE Building Technologies Office (BTO) EERE Science & Technology Policy (S&TP) Fellow

Roles as an EERE S&TP Fellow in BTO:

- Lead technology impact analysis (co-developer of Scout)
- Co-lead the BTO Catalyst Prize Program
- Support Sensors and Controls program funding and planning
- Proposal review (BTO, ARPA-E), workshop planning
- Quadrennial Technology Review Buildings chapter

What we'll cover

Simulation programs that enable better decision-making about energy efficient building design and operation at multiple scales of focus

Part 1: Building occupant scale - HABIT

Software for estimating the occupant-level Indoor Environmental Quality (IEQ) and energy use impacts of building operation strategies, given realistic occupant behavior

Part 2: National building stock scale - Scout

Software for estimating the national energy and carbon savings impacts of building energy efficiency measures



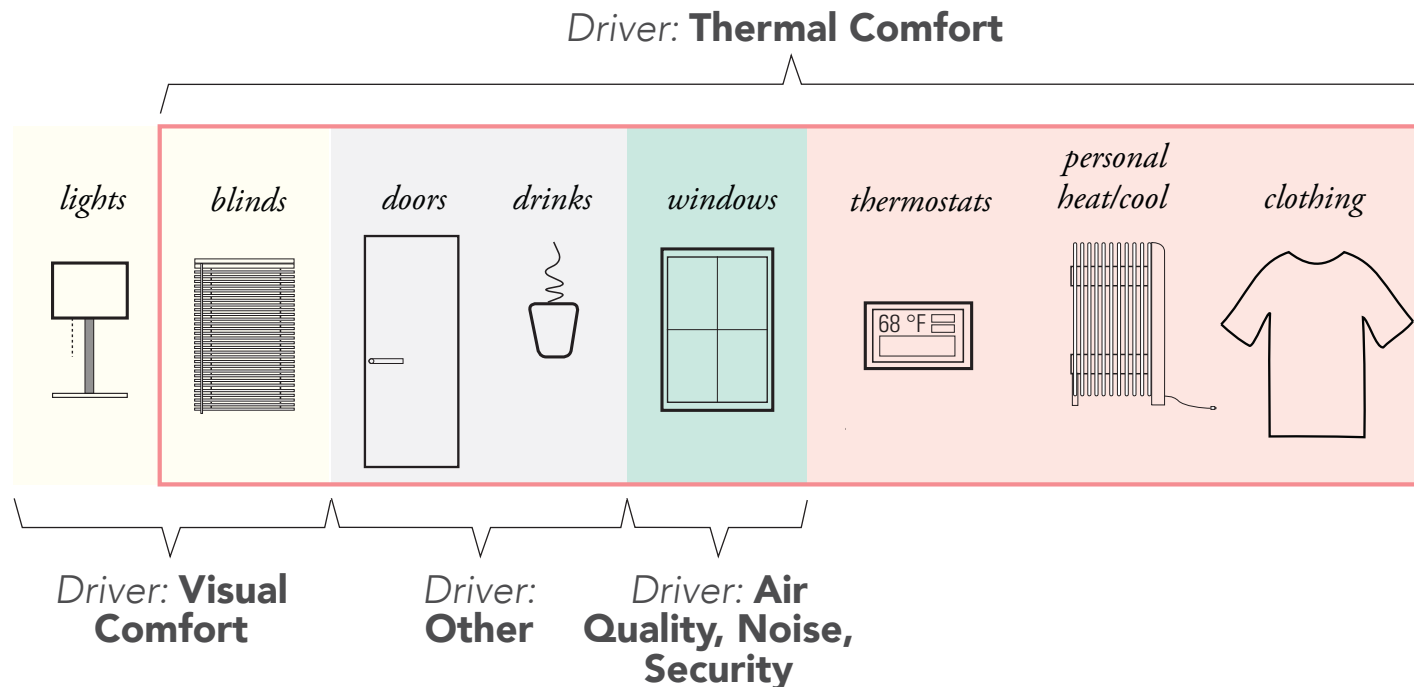
Part 1

HABIT: A framework for occupant behavior, comfort, and energy co-simulation

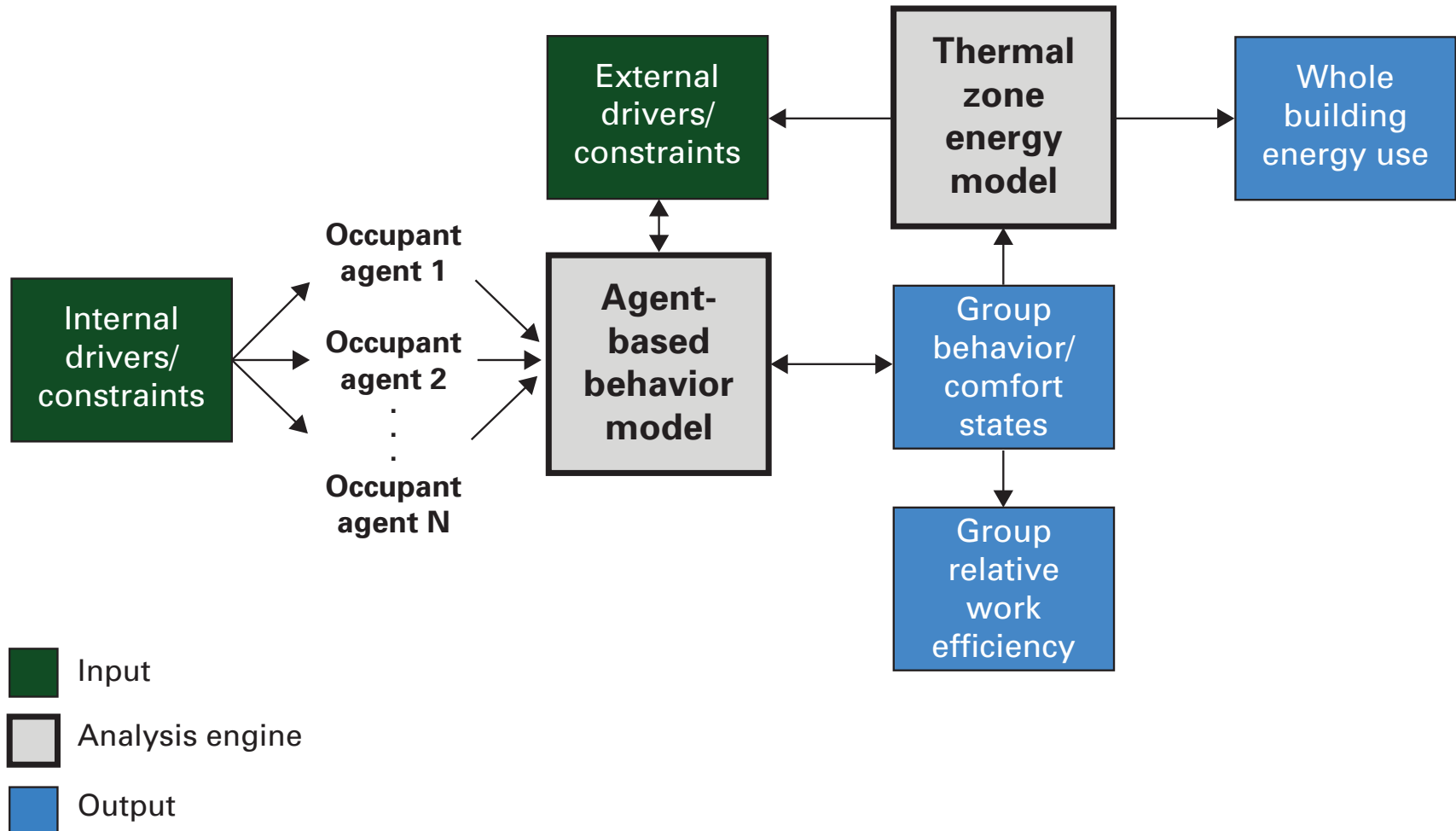
Ph.D. thesis work performed at Drexel University under advisor Dr. Jin Wen, with funding from a National Science Foundation Graduate Research Fellowship

The problem: occupants affect building performance but are not easily modeled

- Occupants' behaviors are at the energy/IEQ nexus
- Behaviors have many possible drivers, vary by context
- Existing behavior models are mostly 'top-down', group-level, and only consider external drivers (e.g., temperature)



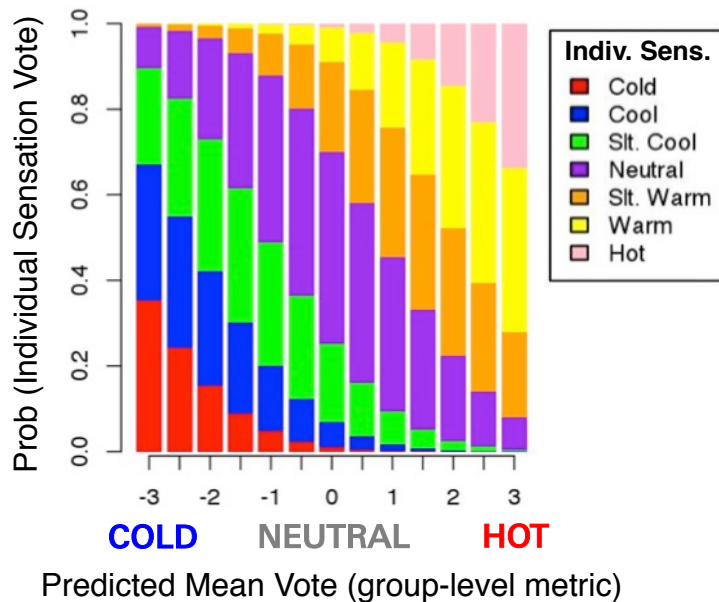
HABIT represents behavior from the bottom up, at the individual level



Individual-level thermal sensation and acceptability models are developed

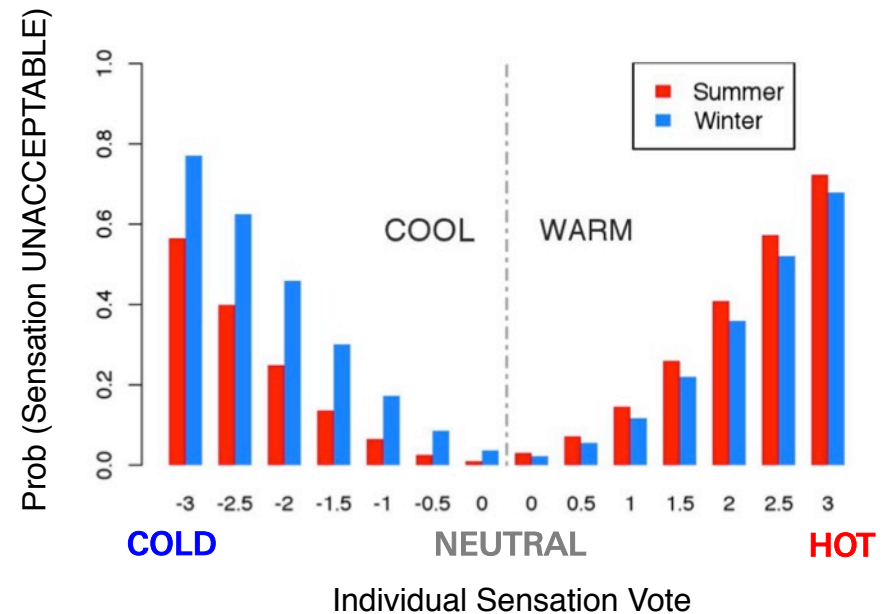
Thermal sensation distribution

Prob (individual sensation vote) =
 $f(\text{Predicted Mean Vote})$



Thermal acceptability distribution

Prob (individual sensation unacceptable) =
 $f(\text{individual sensation, season})$

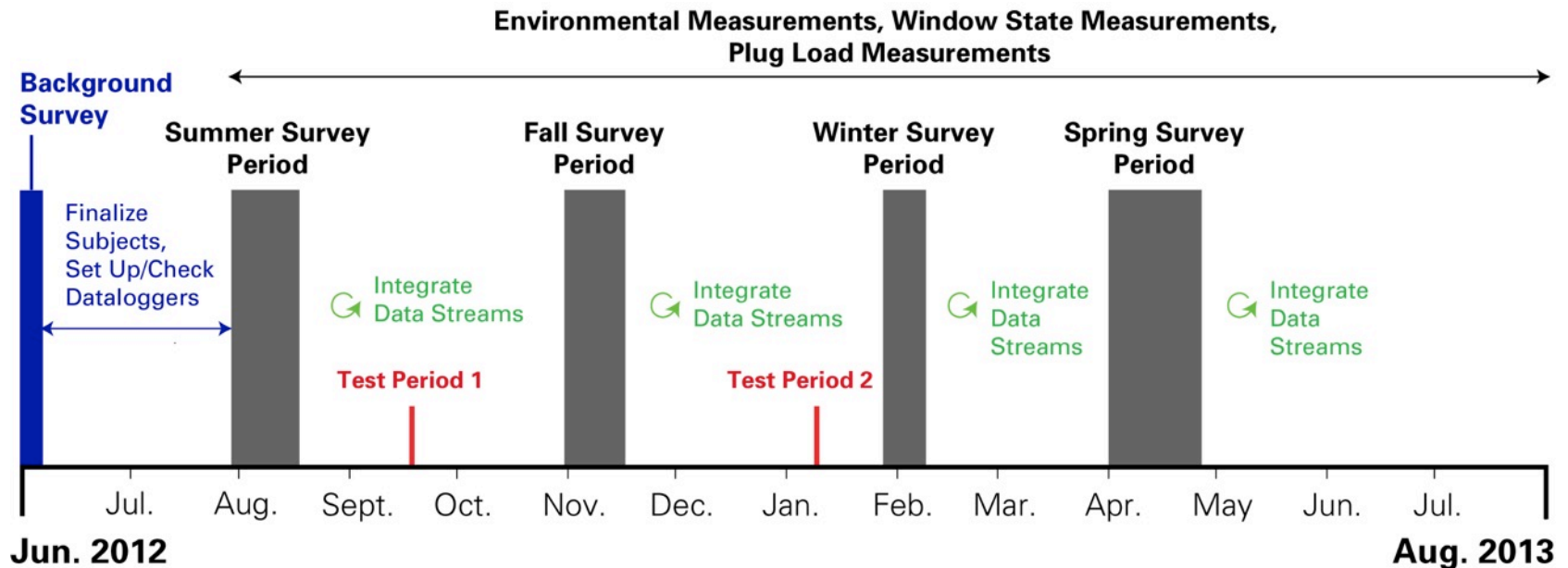


Long-term thermal comfort and behavior outcomes are observed in the field



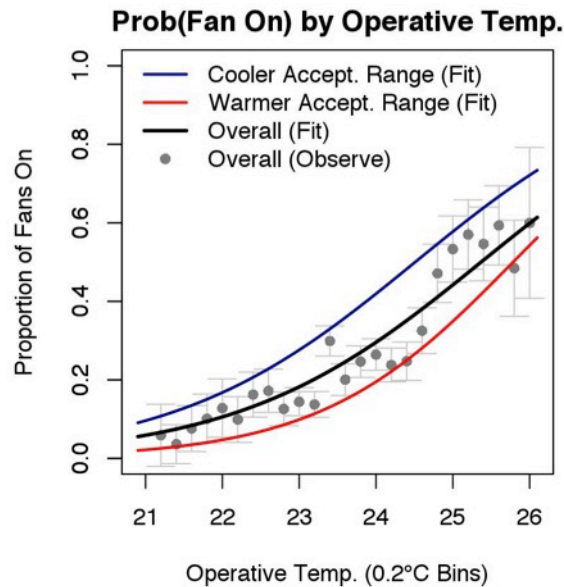
The Friends Center, Philadelphia, PA

- LEED Platinum (2009), medium-sized air-conditioned
- Range of behaviors, Building Monitoring System
- Final sample: 24 occupants

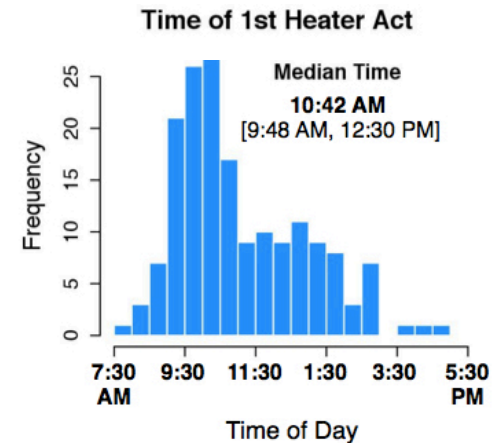
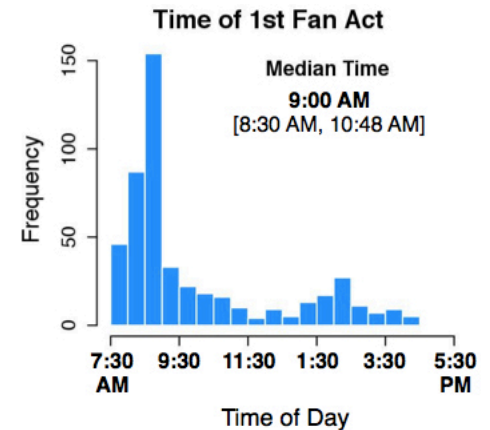
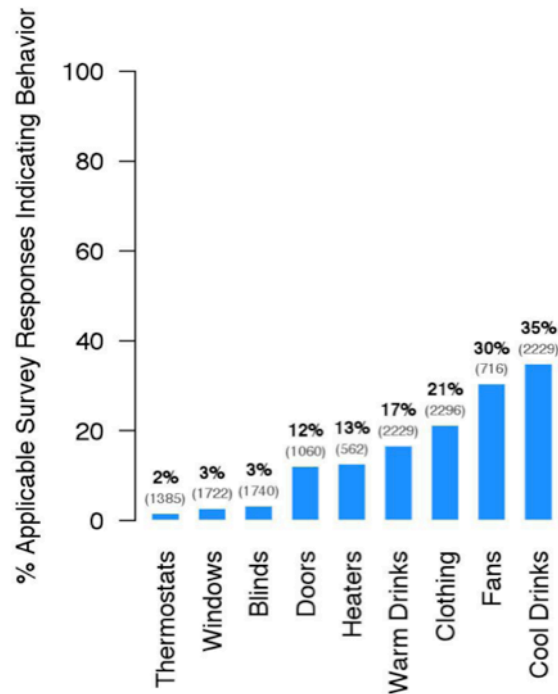


Behavior associates with thermal acceptability range and is sequenced

Those with cooler acceptability ranges are more likely to execute 'too warm' behaviors

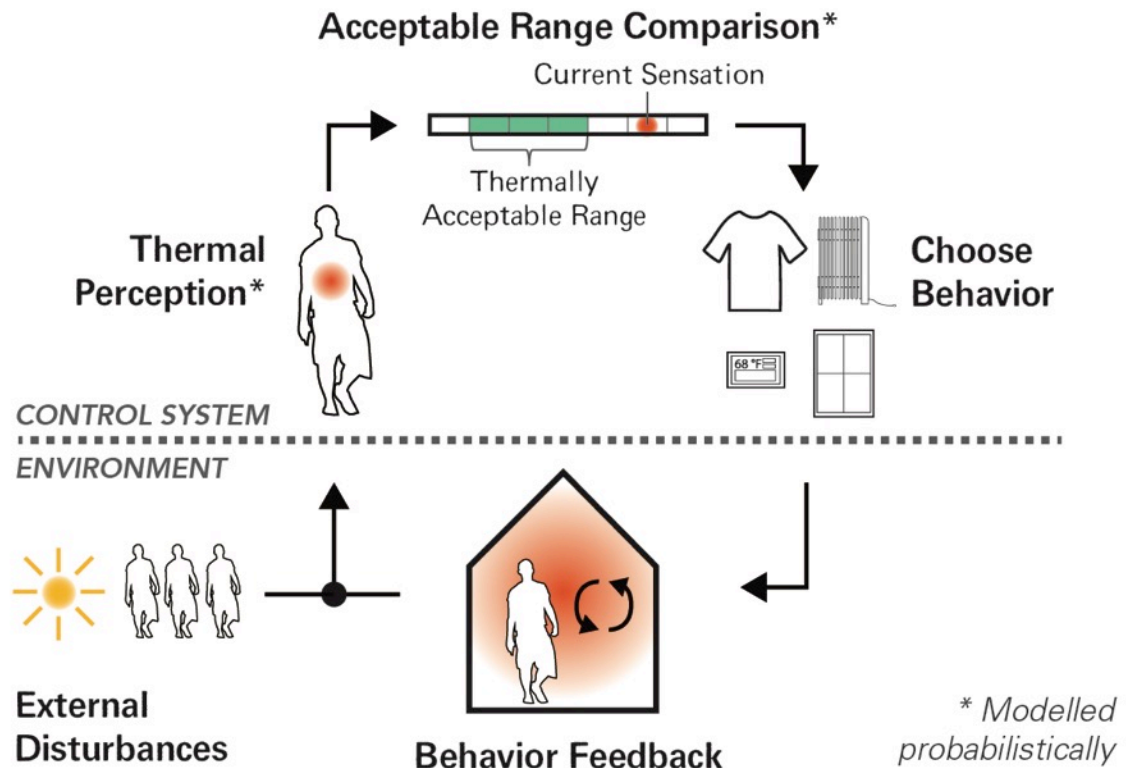


Easy, more immediate behaviors tend to come first

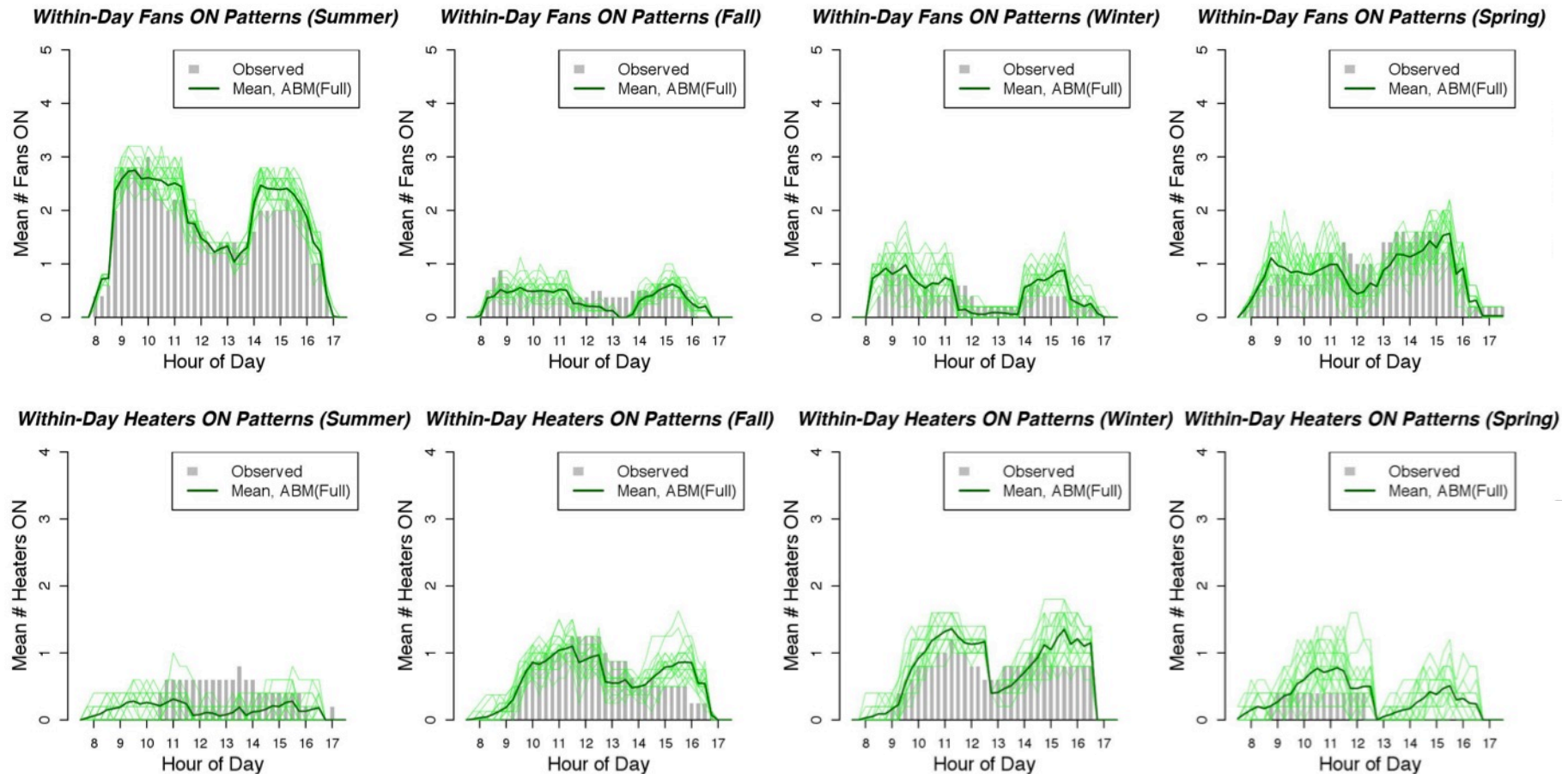


Field findings and individual comfort models inform an agent-based model

- Individual occupant = simulated “agent”
- Behaves according to Perceptual Control Theory (Powers, 1973)
- Behavior constraints and hierarchy
- Outlined using ODD description protocol for agent-based models (Grimm et al, 2010)

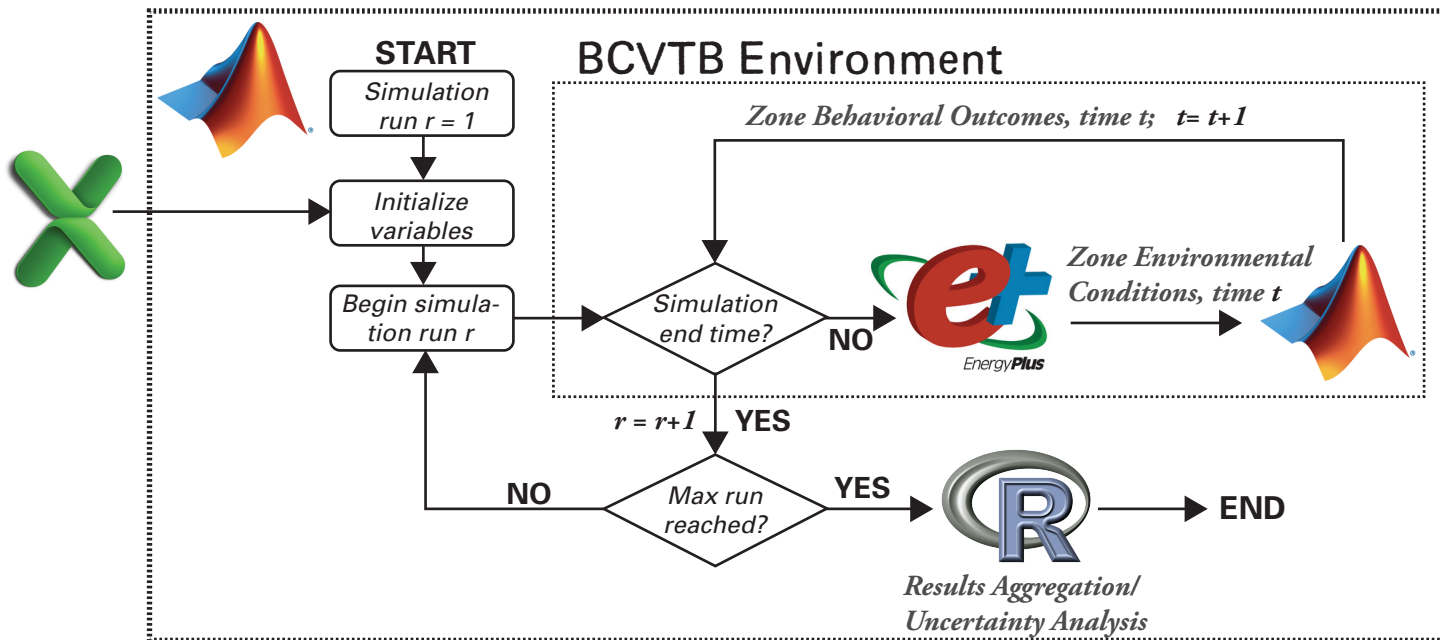


The agent model performs well against field data, other behavior models



The behavior model is co-simulated with a whole building energy model

- BCVTB co-simulates behavior and EnergyPlus models
- Each run repeated multiple times (probabilistic elements)
- Simulation is configured from an Excel spreadsheet



The HABIT behavior/energy co-simulation tool has multiple use cases

- Prospective building design and operation
 - Near-term application: behavior and IEQ factored into whole building energy simulations
 - Long-term application: Model Predictive Control of occupant-centered sensor networks
- Building efficiency policy making
 - Near-term application: Quantifying stock-wide energy/CO₂ benefits of behavior efficiency measures
 - Long-term application: Quantifying stock-wide non-energy/CO₂ benefits of behavior efficiency measures (e.g., productivity costs)

A HABIT case study: The energy, IEQ, and cost implications of wider set points

- Run seven behavior scenarios on EnergyPlus medium office reference model; last four widen thermostat set points
- Simulated with Philadelphia weather file for January and July

#	Name	Clothing	Fans	Heaters	Thermst.	Window
1	Baseline (<i>B</i>)	N/A	N/A	N/A	N/A	N/A
2	Restricted (<i>R</i>)	—	+15 W	+1200 W	21; 24°C ¹	+25X infil.
3	Unrestricted (<i>UR</i>)	—	+15 W	+1200 W	21; 24°C	+25X infil.
4	Wider Set Points (<i>WSP</i>)	—	+15 W	+800 W	20; 27°C	+25X infil.
5	Wider Set Points + Educate (<i>WSPe</i>)	—	+15 W	+600 W	20; 27°C	+25X infil.
6	Wider Set Points (Moderate) (<i>WSP2</i>)	—	+15 W	+800 W	19; 28°C	+25X infil.
7	Wider Set Points (Extreme) (<i>WSP3</i>)	—	+15 W	+800 W	17; 30°C	+25X infil.

¹ Shown are heating set point in January; cooling set point in July.

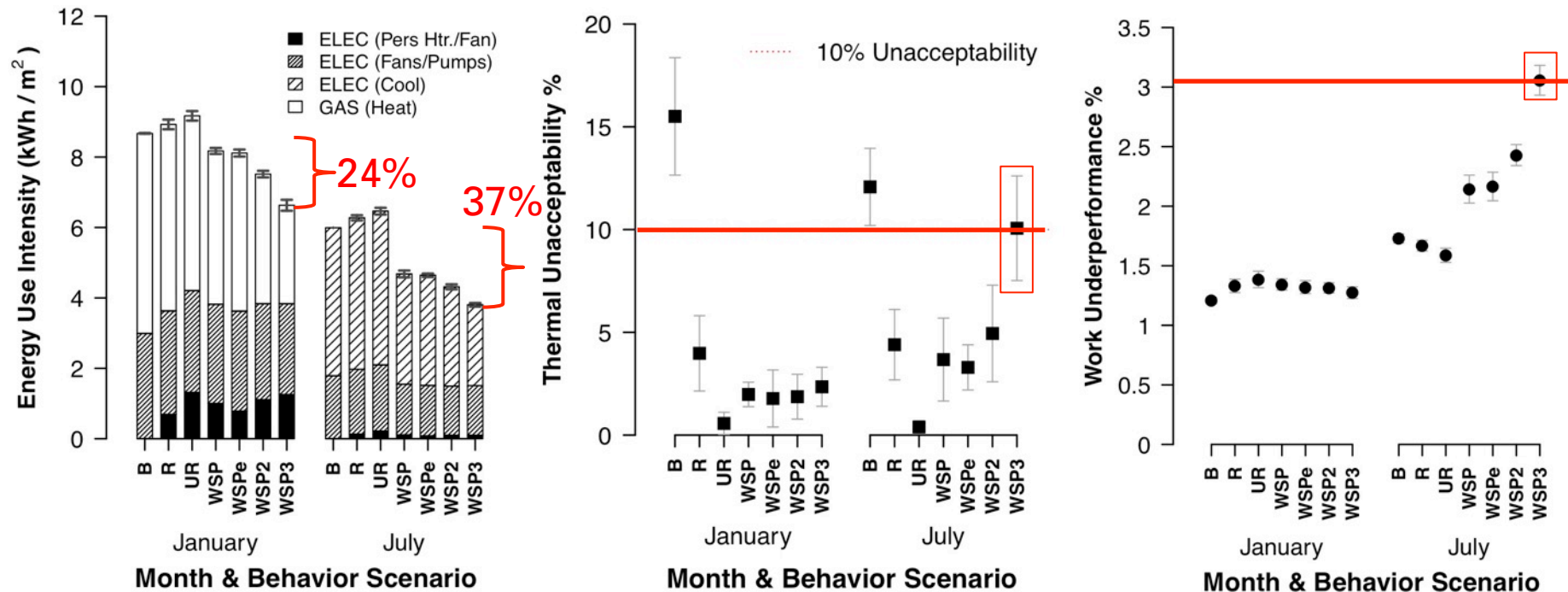
Unrestricted w/ education
Unrestricted completely

Restricted by management
Restricted by management + others in space

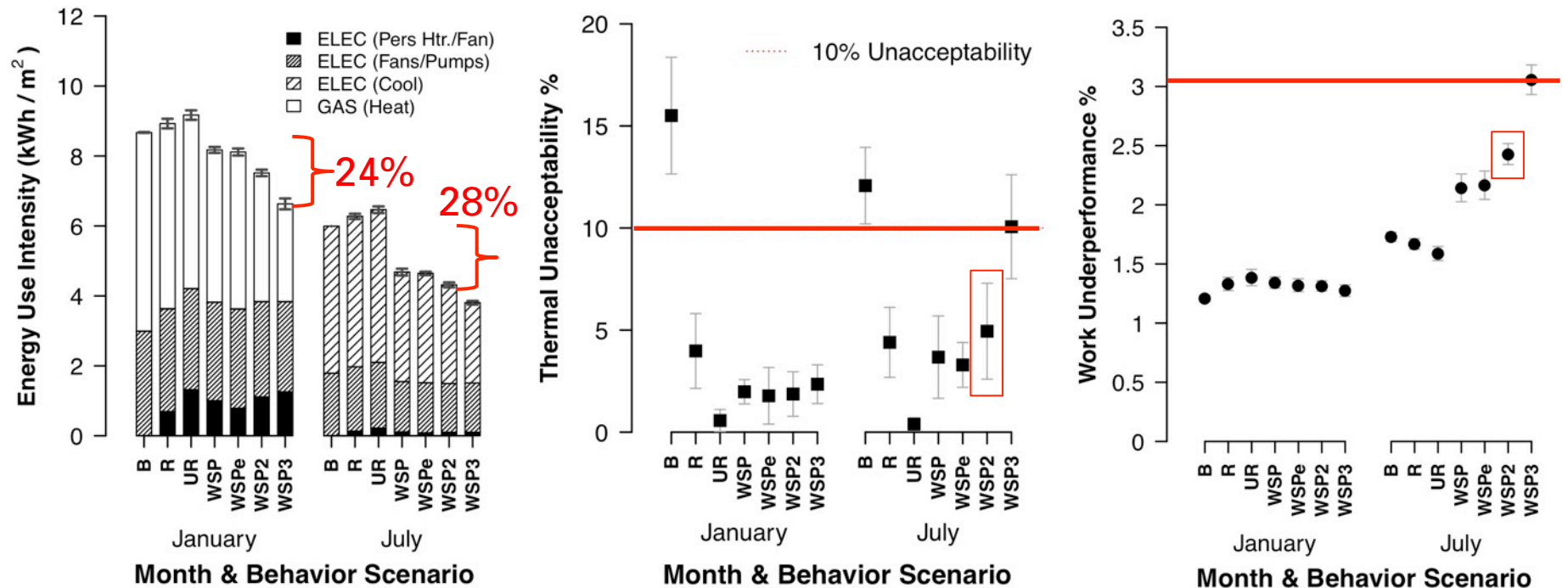
Case study outputs span energy, IEQ, and cost-benefit categories

Category	Metric	Calculation
Energy	Energy Use Intensity Note: HVAC + personal heater/fan use	<i>kWh/sq.m.</i>
Comfort	% Thermal Unacceptability	$\frac{\# \text{ Time Steps Uncomfortable w/ no Remedy}}{\text{Total \# Time Steps}}$
Productivity	% Work Underperformance Note: warmer = suboptimal	$100 - \text{Relative Performance \%}$ (Jensen et al, 2009)
Cost-Benefit	Net Present Value (NPV) - 10 yr.	$\sum_{t=0}^N \frac{R_t}{(1+i)^t}$
	NPV1	<i>Energy \$</i>
	NPV2	<i>Energy + Carbon \$</i>
	NPV3 Note: + 1% annual underperformance ~ \$75,000	<i>Energy + Carbon + Productivity \$</i>

Wider set points look good from the energy and IEQ perspectives - to a point



Wider set points look good from the energy and IEQ perspectives - to a point



Local heaters look bad while fans look good from a financial perspective

- NPV1 – Energy \$
- NPV2 – Energy + Carbon \$
- NPV3 – Energy + Carbon + Productivity \$

SEASON	NPV METHOD	BEHAVIOR SCENARIO						
		B	R	UR	SPF	SPFe	SPF2	SPF3
Heating Season	NPV1	\$0	-\$13,986 (+/- \$1,810)	-\$23,363 (+/- \$1,884)	-\$13,121 (+/- \$925)	-\$10,106 (+/- \$866)	-\$10,352 (+/- \$813)	-\$6,190 (+/- \$1,226)
	NPV2	\$0	-\$19,944 (+/- \$2,791)	-\$34,674 (+/- \$2,908)	-\$18,990 (+/- \$1,414)	-\$14,244 (+/- \$1,320)	-\$14,964 (+/- \$1,236)	-\$8,856 (+/- \$1,852)
	NPV3	\$0	-\$52,001 (+/- \$21,333)	-\$80,912 (+/- \$27,630)	-\$54,150 (+/- \$69,401)	-\$43,586 (+/- \$19,862)	-\$42,786 (+/- \$19,778)	-\$26,061 (+/- \$20,394)
Cooling Season	NPV1	\$0	-\$9,822 (+/- \$1,351)	-\$13,433 (+/- \$1,801)	\$20,454 (+/- \$1,801)	\$21,165 (+/- \$901)	\$27,400 (+/- \$1,351)	\$37,080 (+/- \$901)
	NPV2	\$0	-\$12,807 (+/- \$2,102)	-\$18,425 (+/- \$2,803)	\$34,306 (+/- \$2,803)	\$35,412 (+/- \$1,402)	\$45,114 (+/- \$2,102)	\$60,176 (+/- \$1,402)
	NPV3	\$0	\$2,832 (+/- \$26,825)	\$18,304 (+/- \$33,706)	-\$73,776 (+/- \$46,067)	-\$78,455 (+/- \$44,666)	-\$137,443 (+/- \$39,186)	-\$286,161 (+/- \$44,666)

* 95 % prediction bounds italicized in parentheses

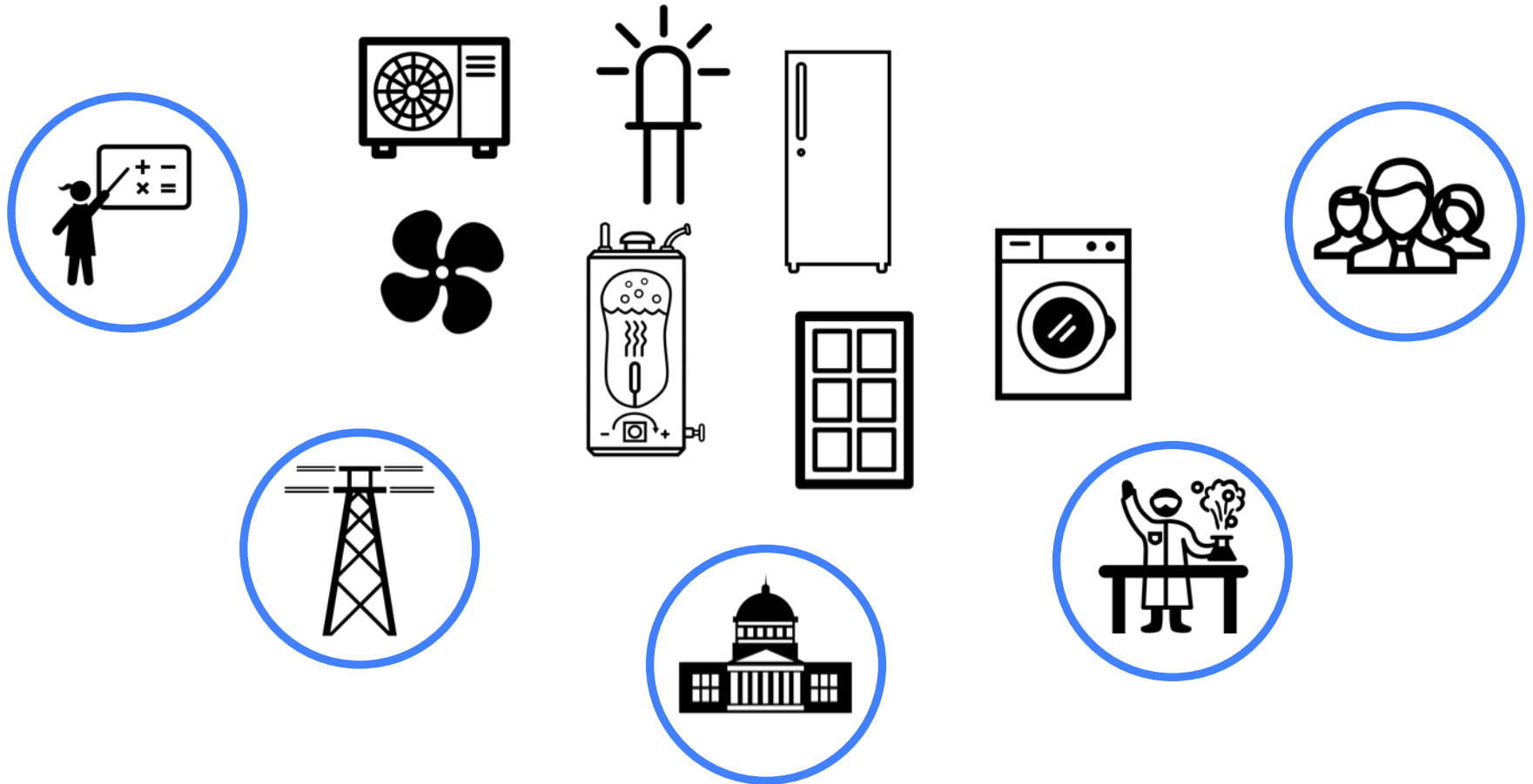


Part 2

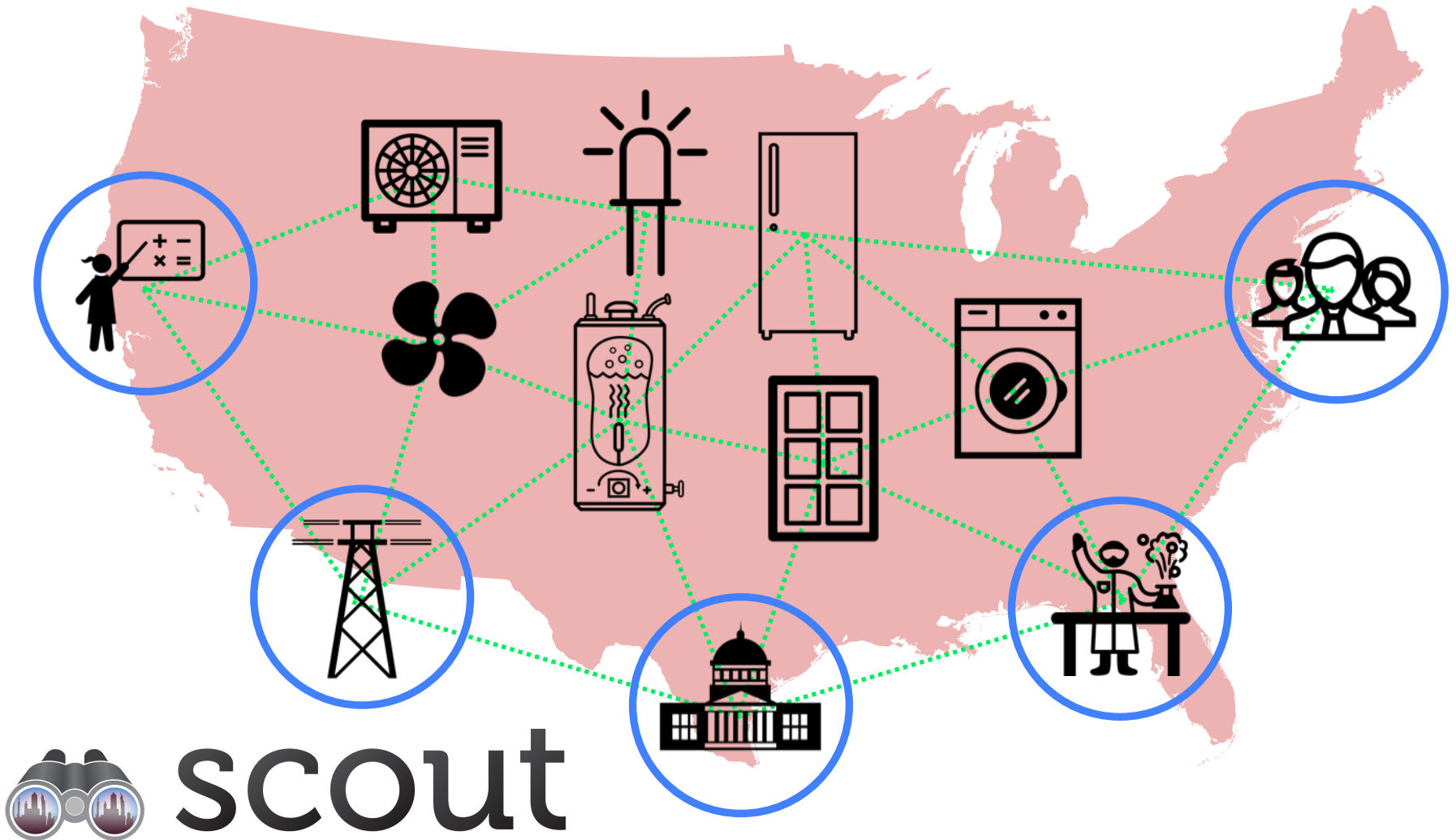
Scout: An impact analysis tool for building energy efficiency technologies

Post-doctoral work performed at the U.S. Department of Energy in collaboration with AAAS Fellow Dr. Chioke Harris under mentors Dr. Patrick Phelan and Dr. Amir Roth

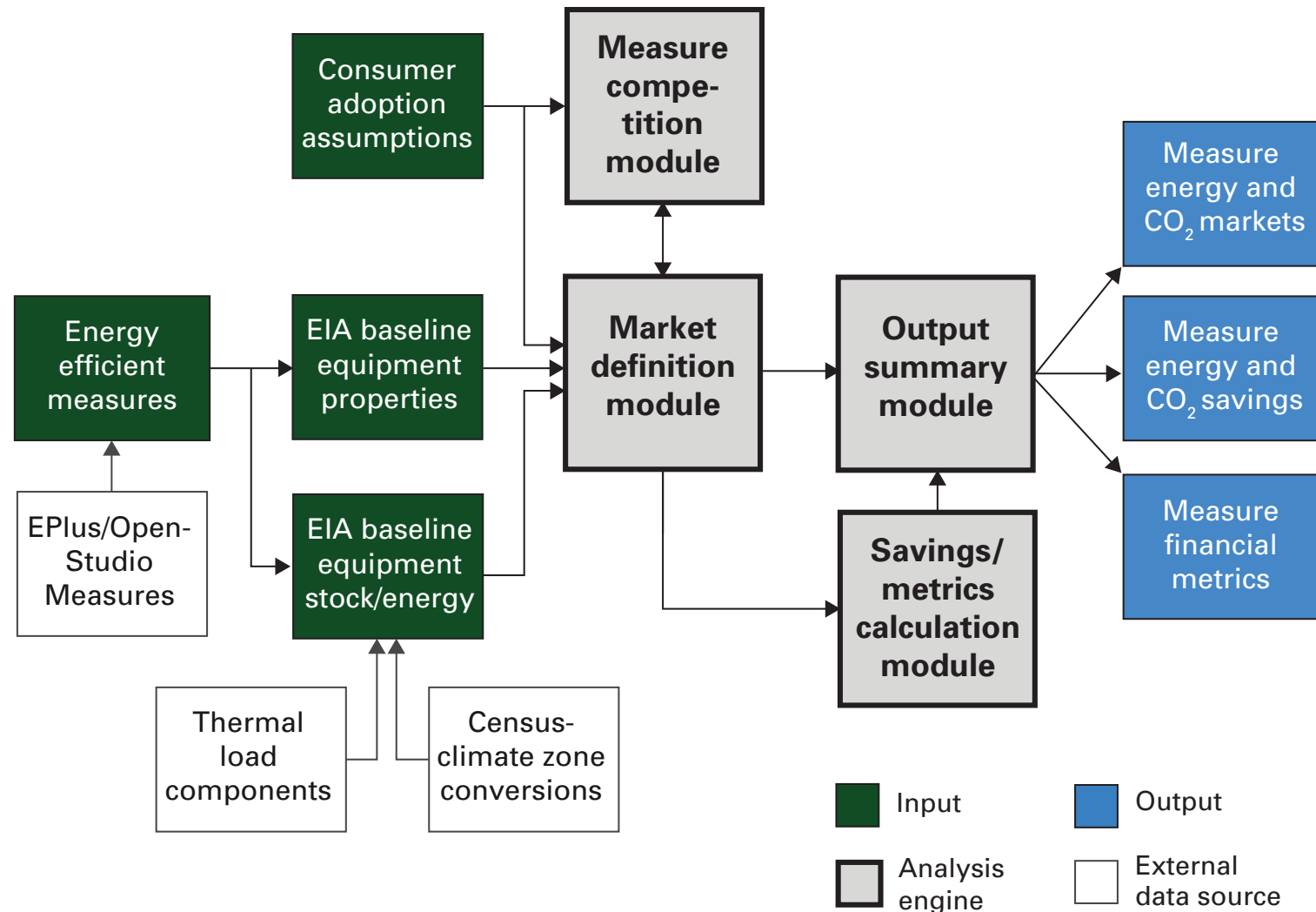
The problem: many efficient technologies, multiple perspectives



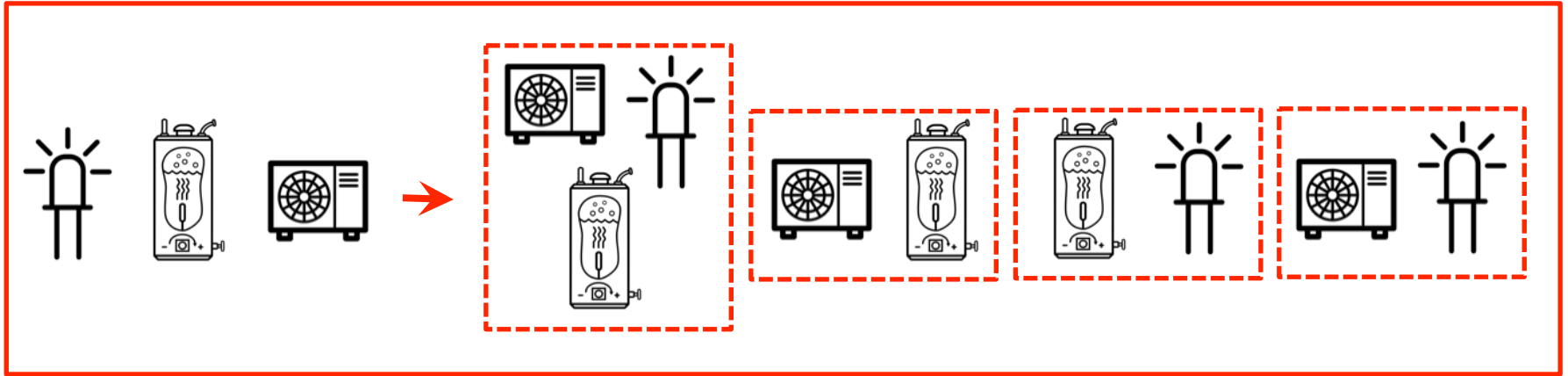
Scout establishes a common framework for efficiency measure impact estimation



Scout applies individual efficiency measures across the U.S. building stock



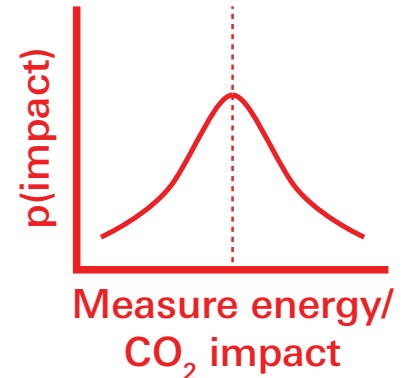
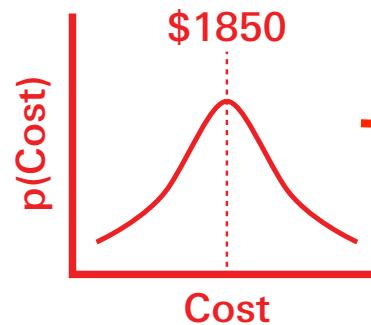
Measures can be packaged and assigned input uncertainty



Compare individual and packaged measures



Cost: \$1850
Performance: 2 EF
Lifetime: 13 years



Measures apply to baselines drawn from EIA Annual Energy Outlook

Data reported for each year from 2009 to 2040

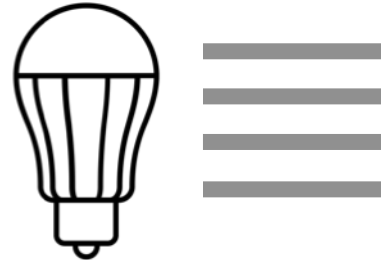
Energy Use



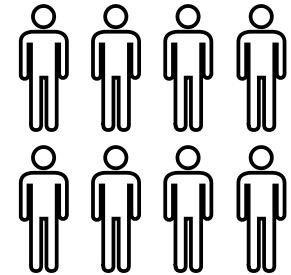
Building Stock



Equipment Characteristics



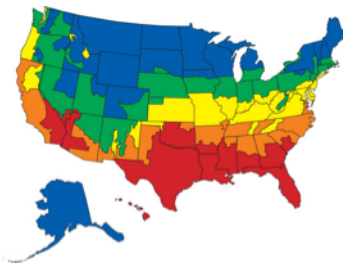
Adoption Model Parameters



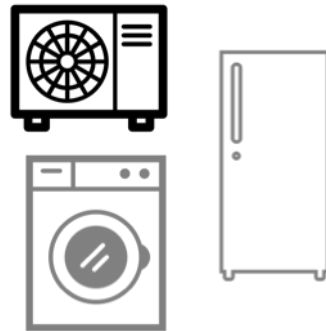
Building Type



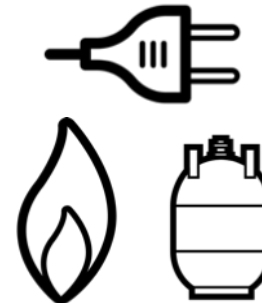
Climate Zone



End Use



Fuel Type

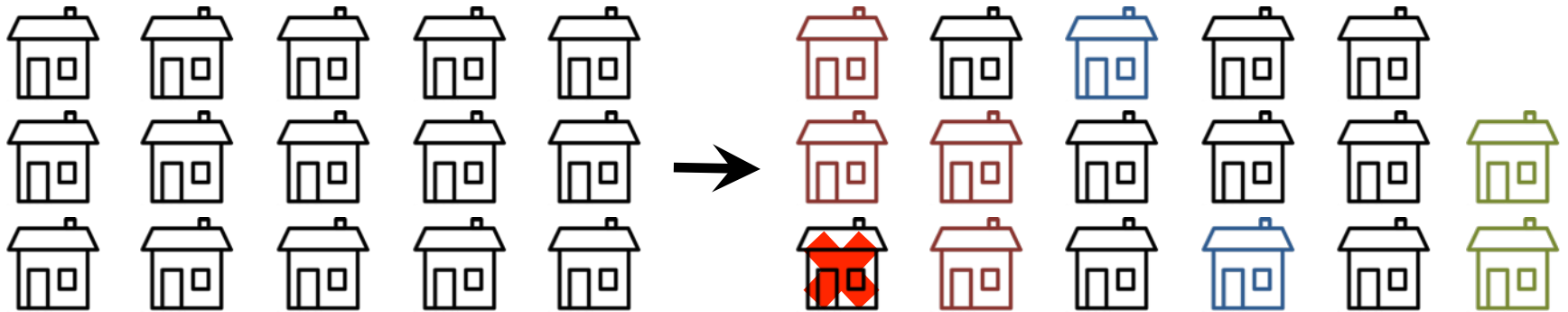


Technology

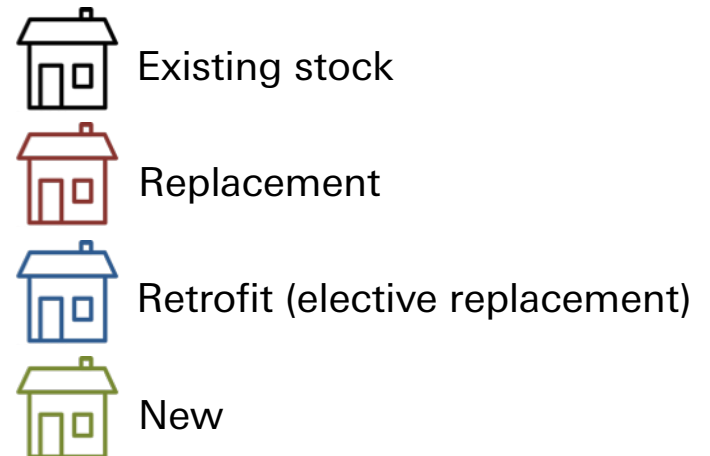


Baseline data define building and equipment stocks and flows

Year Y

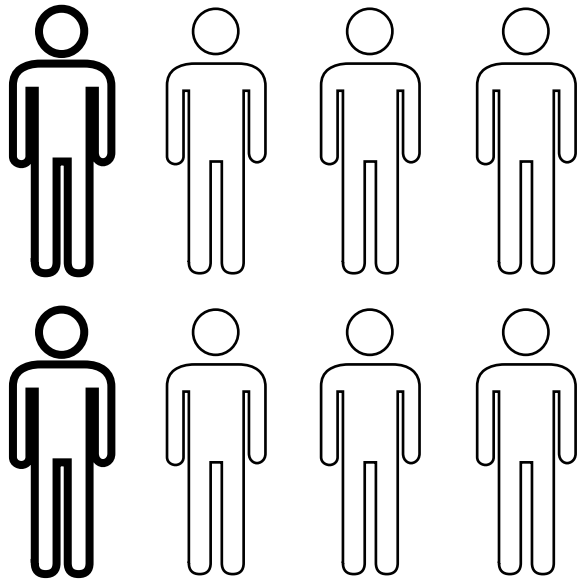


Year Y+1

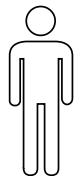


Measures diffuse into markets under three adoption scenarios

Total baseline market (Year Y)



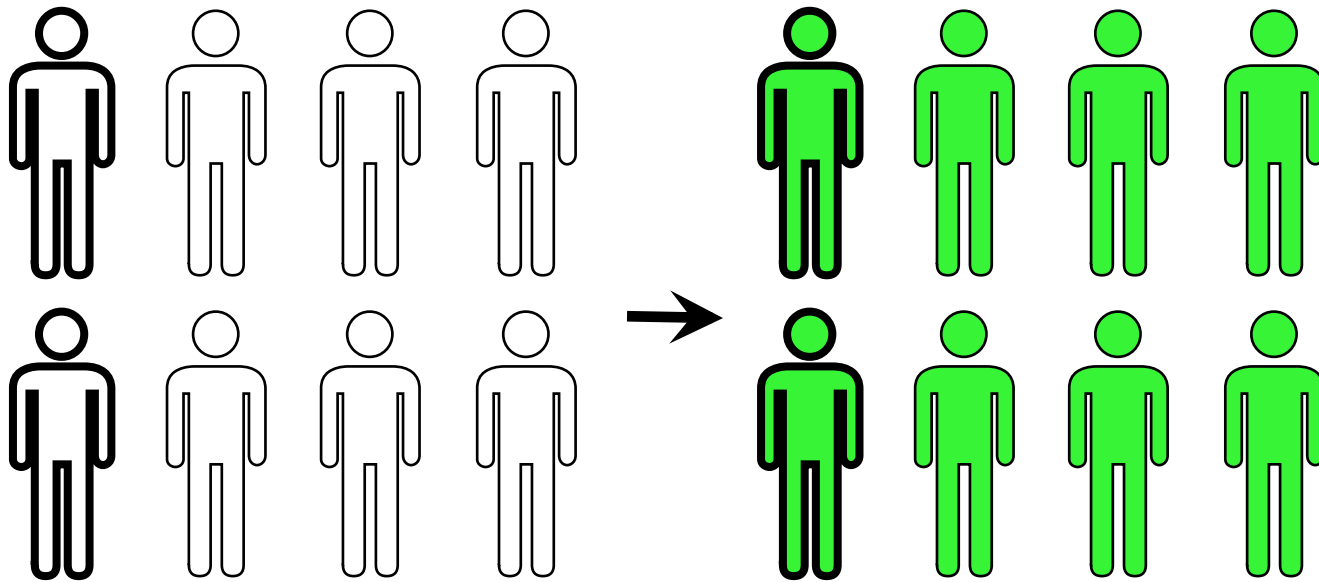
New/replace/
retrofit
baseline
(‘Completed’)



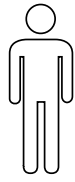
Uncompleted
baseline

Measures diffuse into markets under three adoption scenarios

Technical Potential Scenario: Total market fully captured



New/replace/
retrofit
baseline
(‘Completed’)



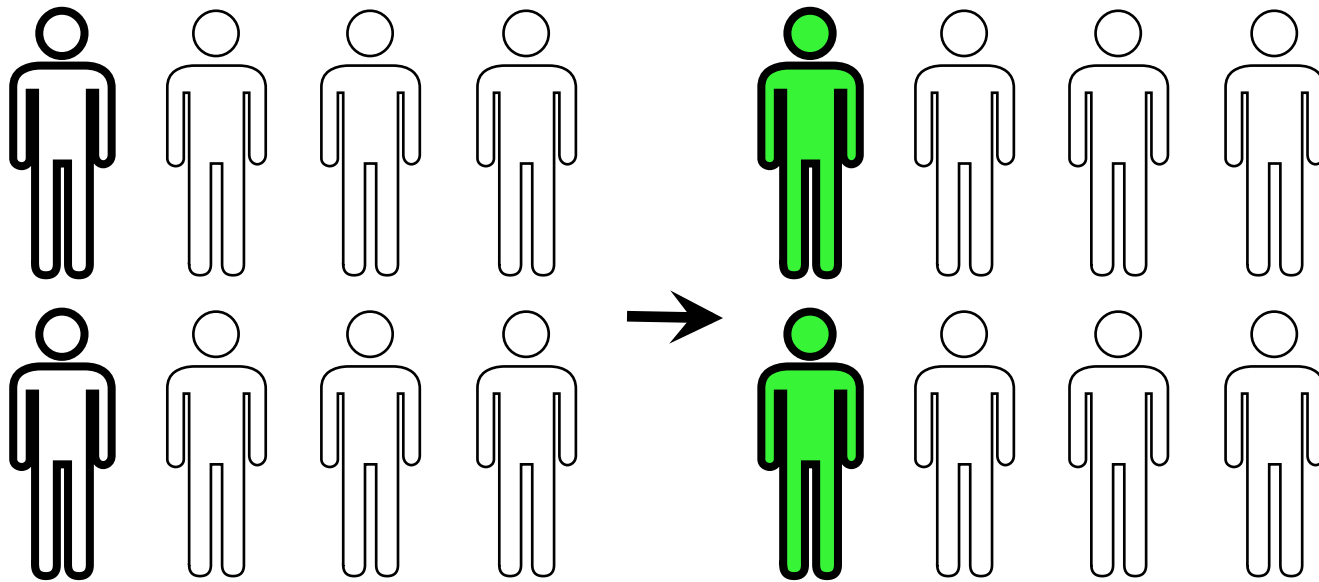
Uncompleted
baseline



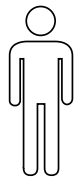
Captured by an
efficient
measure

Measures diffuse into markets under three adoption scenarios

Maximum Adoption Scenario: Competed market fully captured



New/replace/
retrofit
baseline
(‘Competed’)



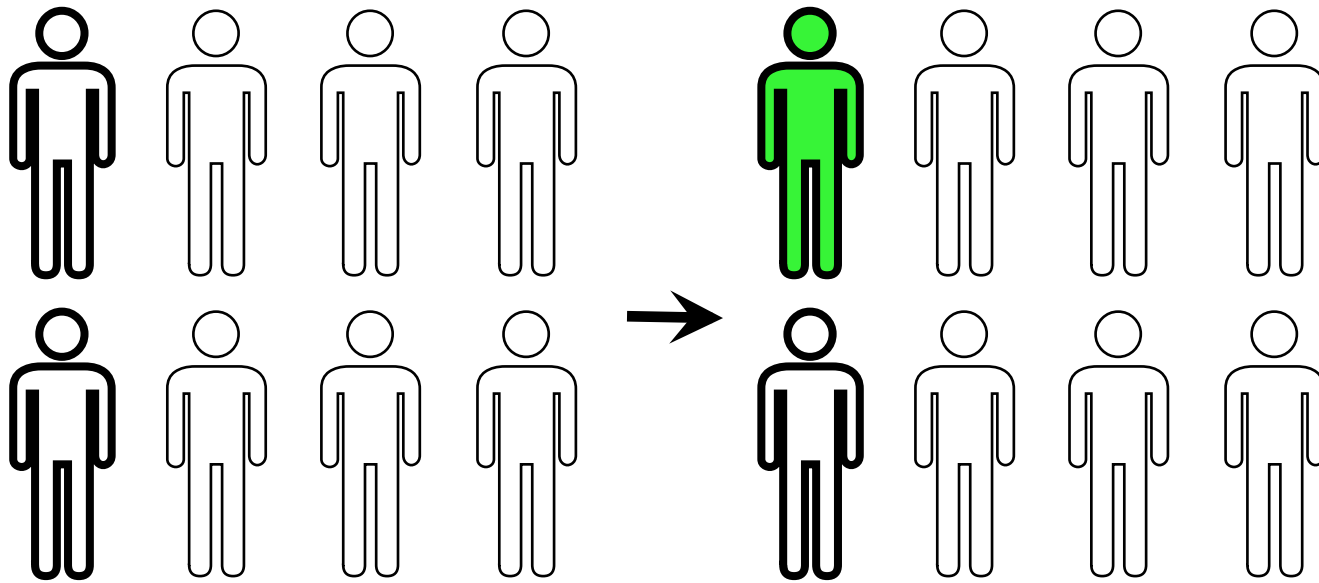
Uncompleted
baseline



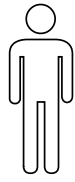
Captured by an
efficient
measure

Measures diffuse into markets under three adoption scenarios

Adjusted Adoption Scenario: Competed market partially captured



New/replace/
retrofit
baseline
(‘Competed’)

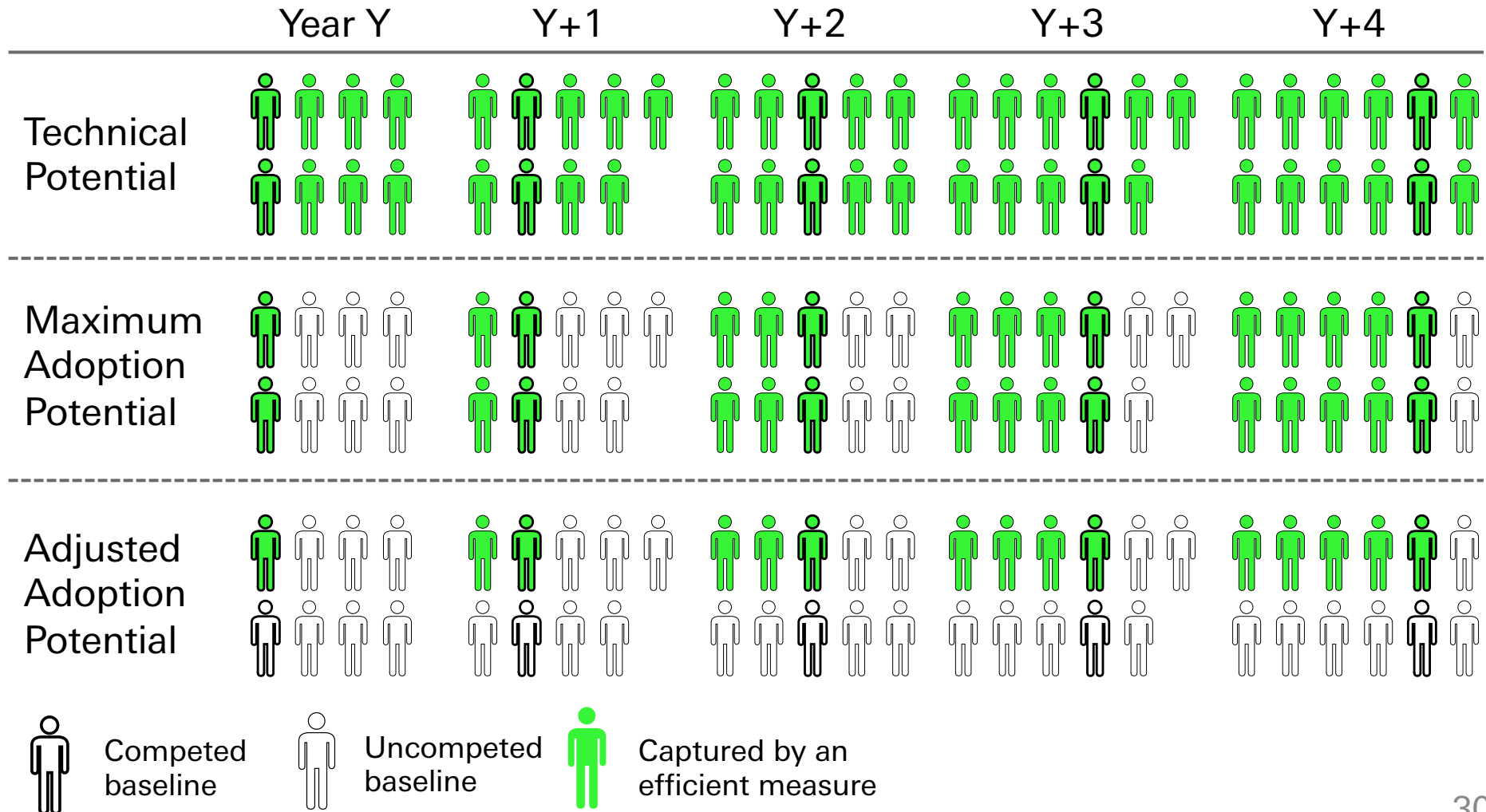


Uncompeted
baseline

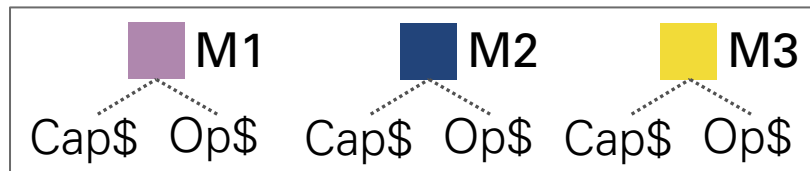


Captured by an
efficient
measure

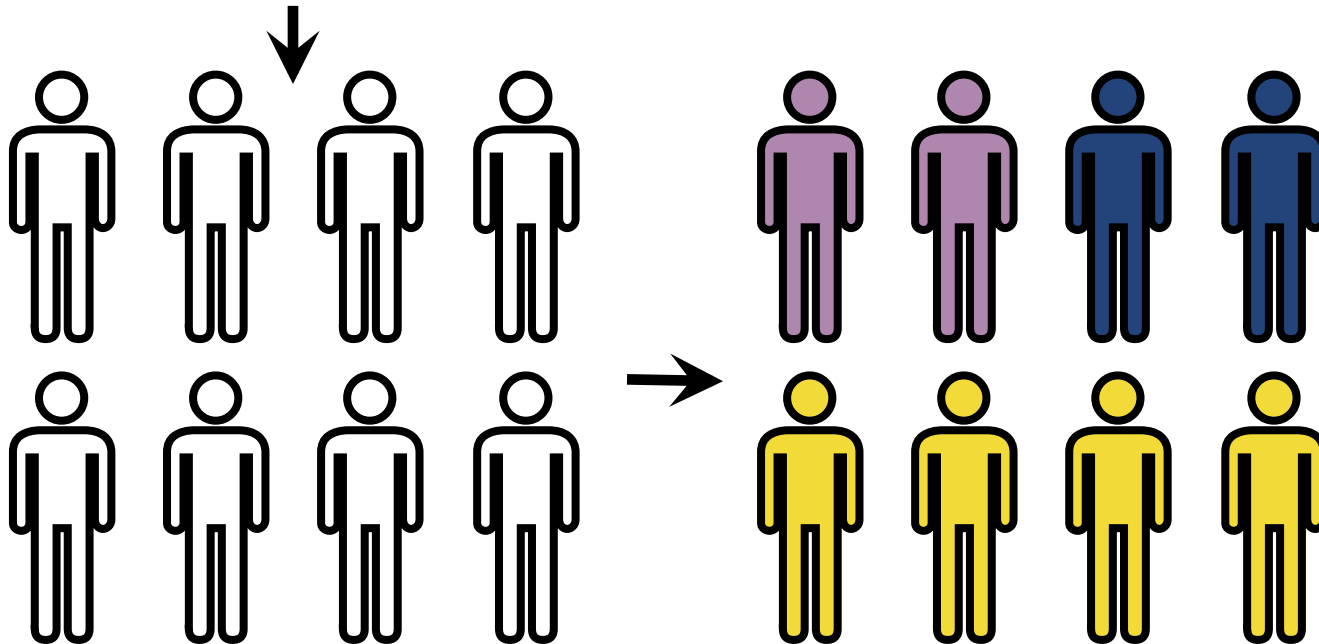
Adoption scenarios determine measure diffusion rates over time



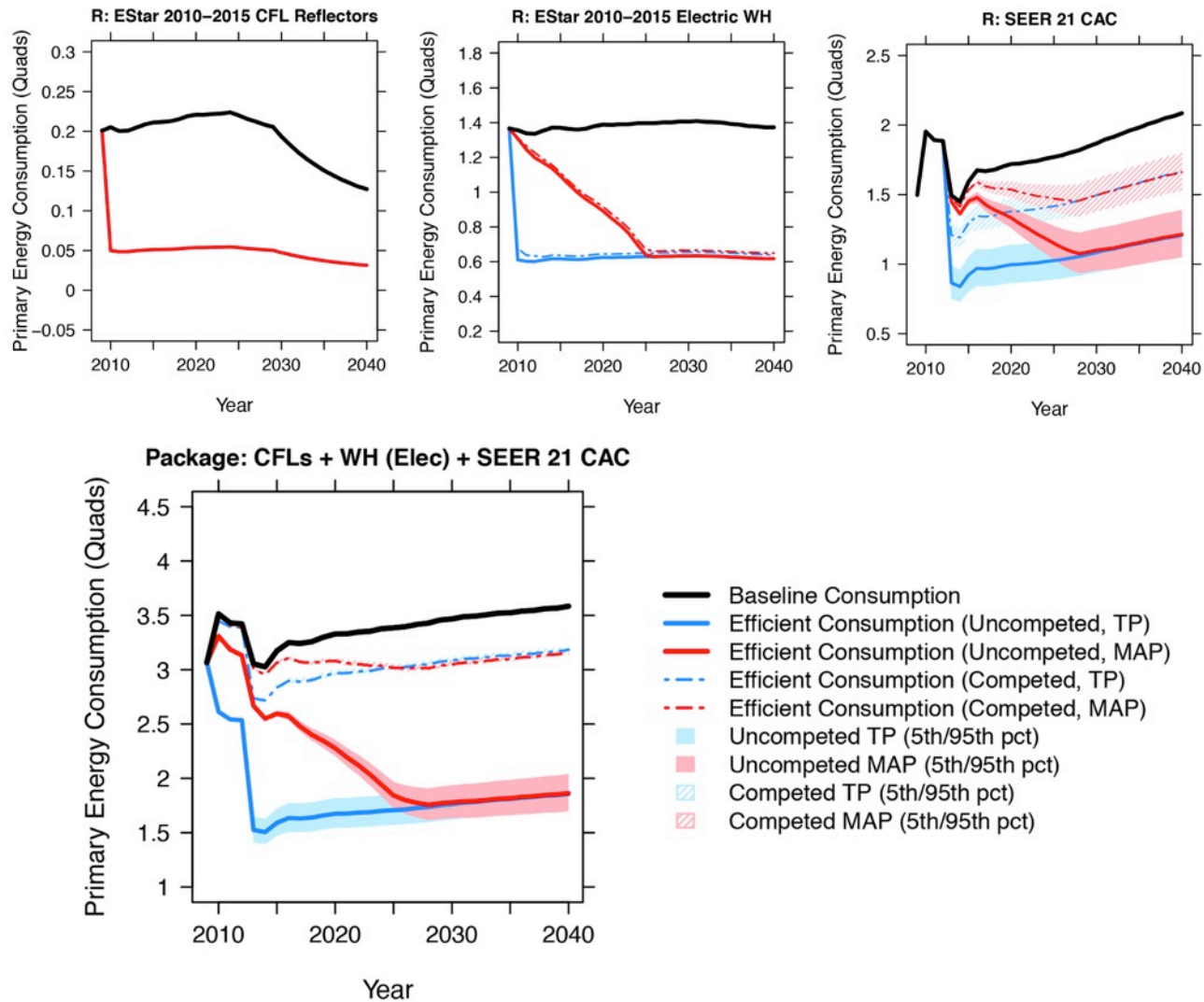
Competing measures are attributed shares of the competed baseline



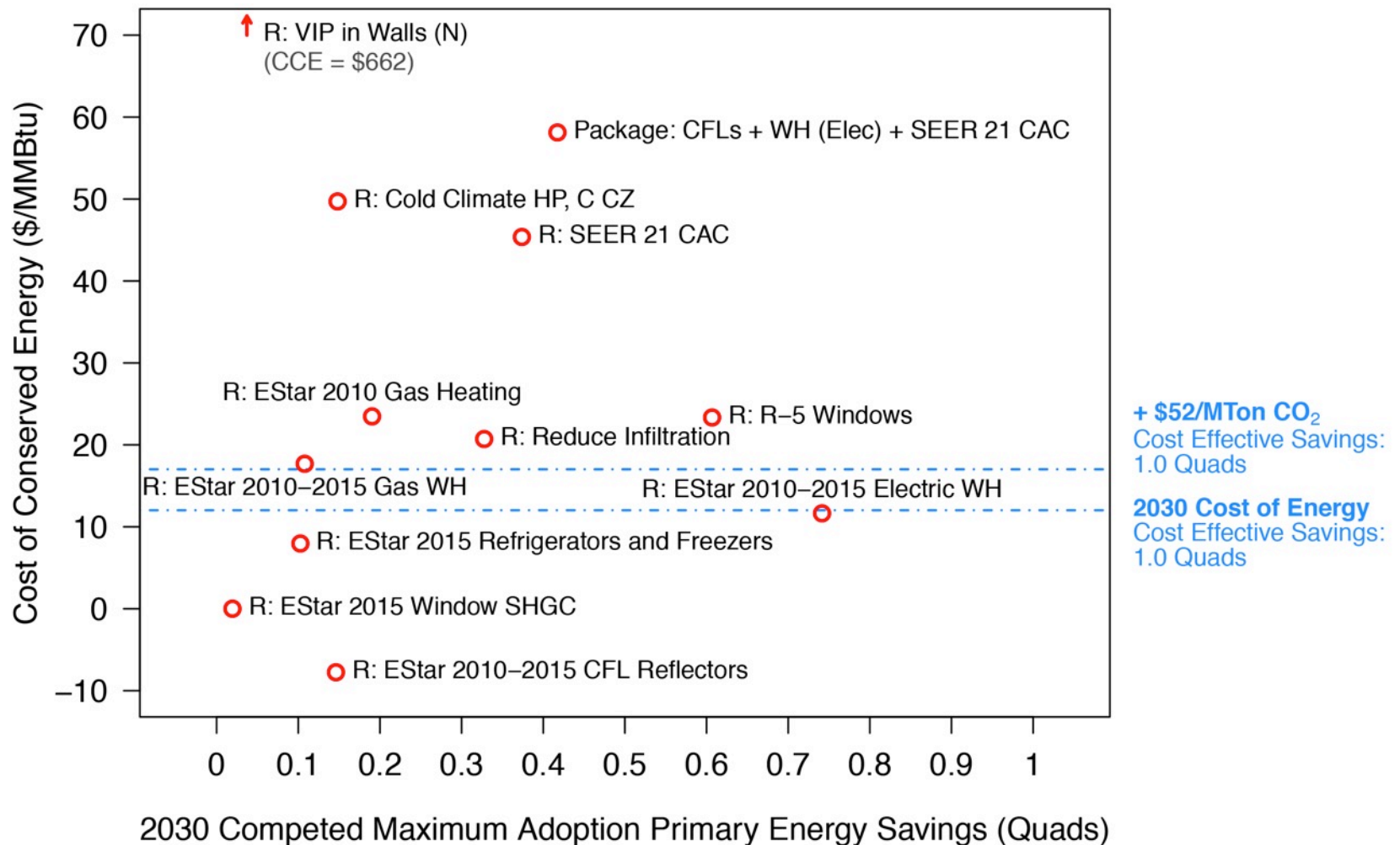
Measure market shares determined by per unit capital/operating costs
*(based on NEMS adoption models)



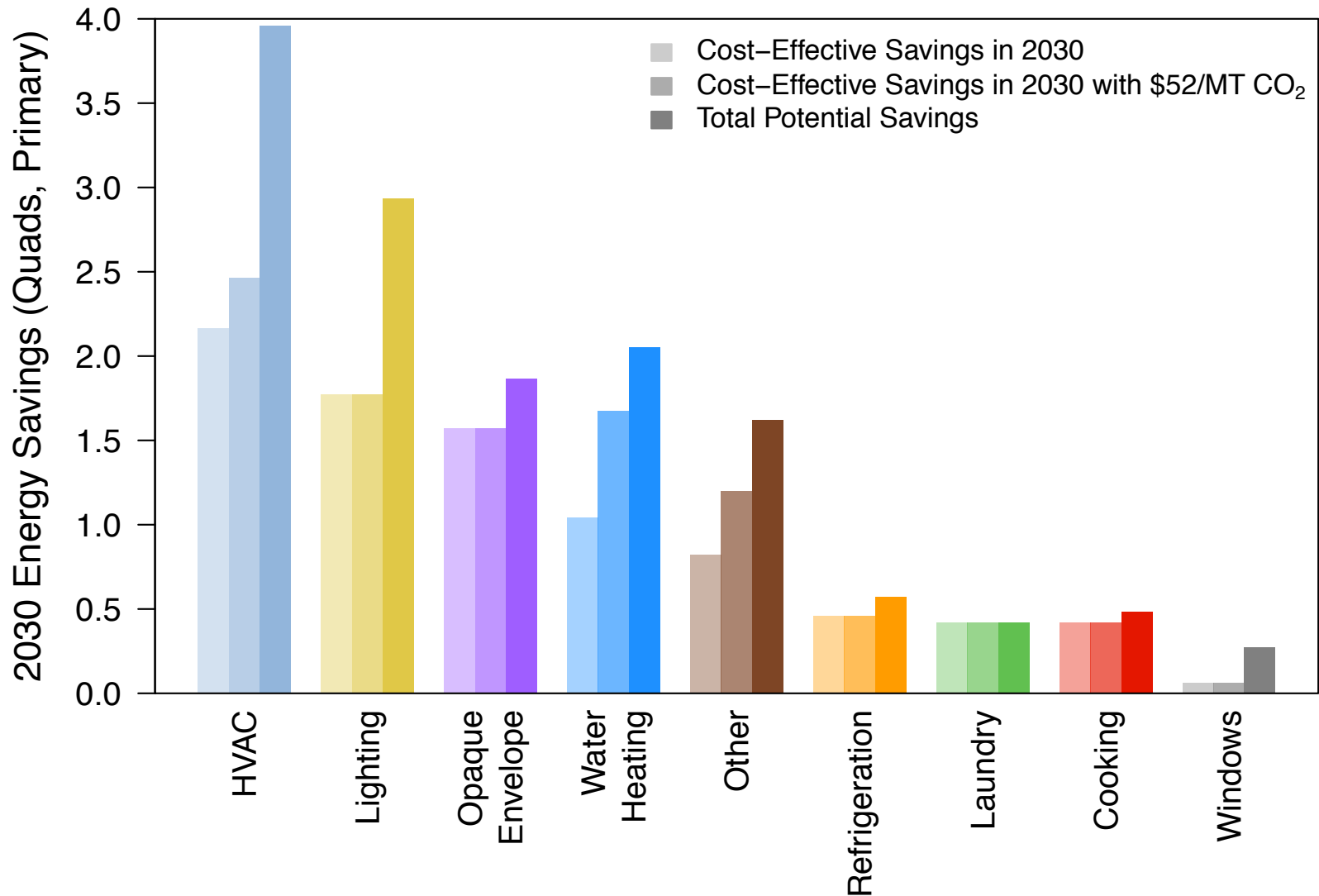
Results can show the effect of package measures, uncertainty



Measure cost-effectiveness and impacts vary widely



End use potential impacts are influenced by the measure portfolio



Interactive web tools using model input data and results are forthcoming

scout

Market Calculator

Determine the energy use associated with building components, equipment, and other end uses in residential and commercial buildings.

The Market Calculator yields the estimated energy use and CO₂ emissions associated with losses through the building envelope and appliances and devices within residential and commercial buildings in the United States. The energy use and CO₂ emissions can be divided by building type, climate zone, technology type, and other factors indicated below. CO₂ emissions reported here do not include direct emissions associated with losses of working fluids from heating, cooling, water heating, and refrigeration systems.

To obtain an estimate for a market or markets of interest, the appropriate definitions must be selected below. In each category shown, at least one selection must be made to yield a complete market definition. In some categories, multiple selections are permitted. Categories where multiple selections are allowed are indicated as such. Selections for the relevant groups are made by simply clicking the appropriate terms. Selected terms are highlighted, and clicking them again will remove them from the chosen market segment. Follow the numbered steps below, making the desired selections at each step. Once selections have been made in each category, click the 'Update' button in the Market Size box on the right side of the screen to get the energy use in the selected market and the associated CO₂ emissions.

The underlying data for this calculator are from the 2015 [Annual Energy Outlook \(AEO\)](#) released by the [U.S. Energy Information Administration \(EIA\)](#).

1. Choose a projection year

2030

2. Select all relevant [AIA climate zones](#)

1 2 3 4 5

3. Choose residential or commercial buildings

Residential Commercial

Market Size

Update

0

TBTU (primary energy)

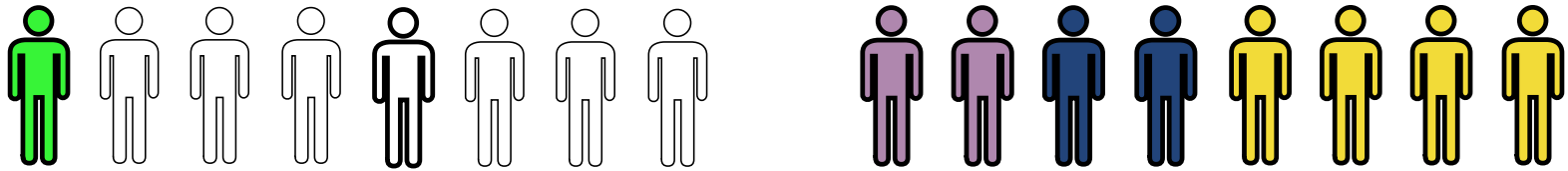
0

MMT CO₂

<https://trynthink.github.io/scout/calculator.html>

Multiple areas have been identified for future development

Improved representation of consumer adoption dynamics



Modeling potential for peak demand reductions



Non-energy benefits





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Icon attributions

Slide 1: United States (Bohdan Burmich)

Slide 20: LED (Nikita Kozin); Water heater (Michael Thompson); Air conditioning unit (Arthur Shlain); Fan (Edward Boatman); Refrigerator (shashank singh); Washing machine (Ed Harrison); Window (Arthur Shlain); Teacher (TukTuk Design); Utility tower (Maurizio Fusillo); Capitol building (Kelcey Hurst); Lab scientist (Edward Boatman); Business team (lastpark)

Slide 24: Energy (Edward Boatman); Buildings, Mosque, House (Creative Stall); School (Tran); Plug (Arthur Shlain); Flame (Samuel Q. Green); Propane Tank (Carlos Salgado); Fluorescent Light Bulb (Matt Brooks); Light Bulb (Marco Galtarossa); LED bulb (Alex Podolsky)

Slide 26: Figure (Alexander Smith)

Slide 36: Solar panels (Adam Terpening); Turbines (Creative Stall); Power Plant (Iconathon); Clock (Karen Tyler); Faucet (Carla Gom Mejorada);

The above icons are available from thenounproject.com.